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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,349	01/15/2002	Brian Lentricchia	CYM-036

CONFIRMATION NO. 9843

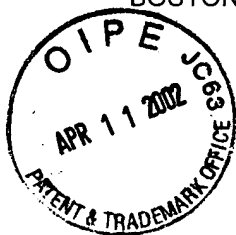
## FORMALITIES LETTER



\*OC000000007496608\*

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

Date Mailed: 02/19/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$748.
  - \$468 for 26 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1618.**

*A copy of this notice **MUST** be returned with the reply.*

*mf*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/12/2002 HBERKE 00000114 10053349

01 FC:101	740.00 00
02 FC:105	130.00 00
03 FC:103	468.00 00
04 FC:104	280.00 00

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Attorney Docket No. CYM-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Lentricchia et al.  
SERIAL NO.: 10/053,349 GROUP NO.: 1645  
FILING DATE: January 15, 2002 EXAMINER: Not Yet Assigned  
TITLE: Nucleic Acid Extraction Solution and Use Thereof

BOX Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts mailed February 19, 2002 (copy attached), enclosed are an executed Declaration and Power of Attorney and two checks totaling the amount of \$1,618.00 to cover the filing fee, the surcharge fee and additional claim fee.

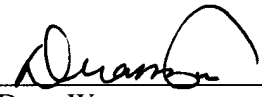
Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

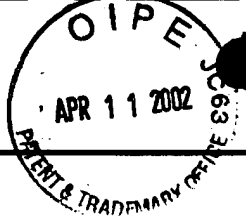
Respectfully submitted,

Date: April 1, 2002  
Reg. No. (Limited Recognition)

Tel. No.: (617) 248-7808  
Fax No.: (617) 248-7100

2334302

  
\_\_\_\_\_  
Duan Wu  
Attorney for Applicant(s)  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



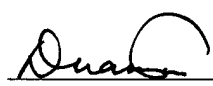
MPA

# TRANSMITTAL FORM

Application Serial Number	10/053,349
Filing Date	January 15, 2002
First Named Inventor	Lentrichia
Group Art Unit	1645
Examiner Name	Not Yet Assigned
Attorney Docket No.	CYM-036

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Two Checks Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application (1 pg.)	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.)  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application (3 pgs.)  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Return Receipt Postcard  <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> )  <input checked="" type="checkbox"/> Limited Recognition under Rule 10.9(b) (1 pg.)
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CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Respectfully submitted,   Duan Wu Attorney for Applicants Testa, Hurwitz & Thibault, LLP 125 High Street Boston, MA 02110  Date: April 1, 2002 Reg. No.: (Limited Recognition) Tel. No.: (617) 248-7808 Fax No.: (617) 248-7100



**FEE TRANSMITTAL**  
**FY 2002**

*Complete if Known*

Application Serial Number	10/053,349
Filing Date	January 15, 2002
First Named Inventor	Lentrichia
Group Art Unit	1645
Examiner Name	Not Yet Assigned
Attorney Docket No.	CYM-036

**METHOD OF PAYMENT**

1. ☒ Payment Enclosed:  
☒ Two Checks ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

**FEE CALCULATION**

**1. FILING FEE**

**Large Entity**

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims 46 - 20 = 26 x \$ 18.00 = 468.00

Independent Claims 2 - 3 = 0 x \$ 84.00 = 0.00

☒ Multiple Dependent Claim(s), if any \$280.00 = 280.00

TOTAL: 1,488.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 1,488.00

**2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 1,488.00

SUBTOTAL (2)

SUBTOTAL (3) 130.00

TOTAL (\$) 1,618.00

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,

Date: April 1, 2002  
Reg. No.: (Limited Recognition)  
Tel. No.: (617) 248-7808  
Fax No.: (617) 248-7100

Duan Wu  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110



PATENT  
Attorney Docket No. CYM-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Lentricchia et al.  
SERIAL NO.: 10/053,349 GROUP NO.: 1645  
FILING DATE: January 15, 2002 EXAMINER: Not Yet Assigned  
TITLE: Nucleic Acid Extraction Solution and Use Thereof

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 1<sup>st</sup> day of April, 2002.

  
Diane Racicot

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg);
2. Fee Transmittal Form (1 pg);
3. Two checks totaling \$1,618.00;
4. Part-2 Copy of Notice to file Missing Parts of Non-Provisional Application (1 pg.);
5. Response to File Missing Parts (1 pg.);
6. Executed Declaration and Power of Attorney (3 pgs.)
7. Limited Recognition under Rule 10.9(b) (1 pg.)
8. and a mailroom postcard.